Please type a plus sign (+) inside this be for use through 10/31/99. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number 09/851,627 Application Number 05/09/01 Filing Date RANSMITTAL FORM Pitbladdo First Named Inventor (to be used for all correspondence after initial filing) 1731 Group Art Unit Examiner Name PIT-1 Attorney Docket Number 5 Total Number of Pages in This Submissior ENCLOSURES (check all that apply) wance Communication Assignment Papers Fee Transmittal Form (for an Application) Communication to Board of Appeals and Interferences Drawing(s) Fee Attached Cornel (Introduction to Group Licensing-related Papers Amendment / Response Brief, Reply Brief) Petition Checklist and Proprietary Information After Final Accompanying Petition To Convert a Status Letter Extension of Time Request **Provisional Application** Additional Enclosure(s) Power of Attorney, Revocation **Express Abandonment Request** Change of Correspondence Address (please identify below): Information Disclosure Statement 3 References Terminal Disclaimer Postcard Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Brown & Michaels, PC Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 70993400001247158707

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Justin Wood

Date

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TC 1700

MISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

In re the patent application of:

Richard B. Pitbladdo

For:

SHEET GLASS FORMING APPARATUS

Filed:

05/09/01

Application No.:

09/851,627

Art Unit:

1731

Attorney Docket No.:

PIT-1

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

## List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this information Disclosure Statement

1.	[X] Preliminary Statements
2.	[X] FORM PTO - 1449 (Modified)
3.	Statement As To Information Material To Examination Not Found in Patents or Publications
4.	☐ Identification of Prior Application In Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Be Submitted.
5.	Cumulative patents or Publications
6.	[X] Copies of Listed Information Items Accompanying This Statement
7.	Concise Explanation of Non-English Language Listed Information Items.
8.	Translation(s) of Non-English Language Documents
9.	Certification under MPEP 609(e)
10	. [X] Identification of Person(s) Making This Information Disclosure Statement
	CERTIFICATE OF MAILING
C3.7	Cortified Mail No: 7000 3400 0012 4715 8707 Date: December 6, 2001

[X] Certified Mail No: 7099 3400 0012 4715 8707

I hereby certify that this correspondence is being deposited with the United States Postal Service, return receipt requested in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on this date.

### Section 1. Preliminary statements

considered to be material:

Applicant submits herewith patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.56(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

#### (SEE ATTACHMENT) Section 2. Form PTO - 1449 (Modified)

- \*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance or not considered. Include a copy of this form with the next communication to applicant.
- Section 3. Statement As To Information Material For Examination Not Found in Patents or Publications (Information not listed in PTO 1449)
- Section 4. Identification of Prior Application in Which Listed Information Was Already Cited and For Which No Copies Are Submitted Or Need Re Submitted

Which No Copies Are Submitted Or Need Be Submitted
Section 5. Cumulative Patents or Publications
Item(s) are cumulative of the following patents or publication listed on Form PTO 1449 (modified):
In accordance with 37 CFR 1.98(c) a copy of is being submitted with this information disclosure statement.
Section 6. Copies of Listed Information Items Accompanying This Statement
Legible copies of all items listed accompany this information statement.
Exception(s) to above:
<ul> <li>☐ Items in prior application from which an earlier filing date is claimed for this application as identified in Section 4.</li> <li>☐ Cumulative patents or publications identified in Section 5.</li> </ul>
Section 7. Concise Explanation of Non-English Language Listed Information Items
Section 8. Translation(s) of Non-English Language Documents

Submitted herewith is an English translation of the following foreign language patents, publications or information or of those portions of those patents, publications or information

No English language translations of the foreign language patents, publications or information

The following foreign language documents submitted are believed to be the equivalent or substantial equivalent of the English language documents identified below, which are also submitted herewith.
Section 9. Certification under Rule 1.97
The undersigned hereby certifies that:
a. This Statement is being filed after the latest of (1) three months after the filing date of a national application; (2) three months after the date of entry of the national stage as set forth in w 1.491 in an international application; (3) the mailing date of a first Office action on the merits.
b. The fee set forth in §1.17(p)
☐ Is being paid with this Information Disclosure Statement
<ul> <li>☐ Is not due because:</li> <li>☐ (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement, or</li> <li>☐ (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.</li> </ul>
Section 10. IDENTIFICATION OF PERSON(S) MAKING THIS INFORMATION DISCLOSURE STATEMENT
The person making this statement is
(a) the inventor(s) who signs below
(b) [X] the attorney who signs below on the basis of:  the information supplied by the inventor(s)  an individual associated with the filing and prosecution of this application (37 CFR 1.56(c)).  [X] the information in the attorney's file
Christopher A. Michaels, Registration No. 34,390
BROWN & MICHAELS, PC 400 M&T Bank Building, 118 N. Tioga Street
Ithaca, New York 14850-4343

Fax: (607) 256-3628

Voice: (607) 256-2000 e-mail: bpm@bpmlegal.com Customer number: 020808

Section 2. Form PTO - 1449 (Modified) (ATTACHISTENT)		
FORM PTO-1449 U.S. DEPT. OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE	ATTY DOCKET NO. PIT-1	SERIAL NO. 09/851,627
(Modified) FATENT AND TRIBELANDED	APPLICANT Richard Pitbladdo	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	FILING DATE 05/09/01	GROUP 1731

### U.S. PATENT DOCUMENTS

- 1	Exam nitial		DOCUMENT NUMBER	DATE	PATENTEE	CLASS	SUB	FILING DATE IF APPROPR
广		AS	1,759,229	05/20/1930	Drake			
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## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Exam Initial		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB	TRANSLATION YES   NO
	AT	982153	02/03/1965	Great Britain	C 03 b.		
<b>-</b>	AU	09110443	04/28/1997	Japan	C03b	17/06	
	AV	10291827	11/04/1998	Japan	CO3b	17/06	
	11.	10271027					
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### OTHER PRIOR ART

Exam Initial		Author, Title, Date, Pertinent Pages, Etc
EXAMIN	AR NER	DATE CONSIDERED